

PATENT

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February 23, 2007
Date

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M. David Galin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen Cutler

Serial No.: 09/911,772

Art Unit: 3692

Filed: July 24, 2001

Confirmation No.: 3927

Title: SECURITIES MARKET AND MARKET MAKER ACTIVITY
TRACKING SYSTEM AND METHOD

Examiner: Clement B. Graham

Docket No.: CUTLP0102US

REPLY TO OFFICE ACTION DATED OCTOBER 23, 2006

Mail Stop: AMENDMENT
Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This reply is filed in response to the Office action dated October 23, 2006. Please amend the above-identified application in the following manner. Favorable reconsideration of the application is respectfully requested in view of the following amendments and comments.